



## Solution Overview

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# CPA Services

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## Overview

CPA and consulting firms operate within an environment of high expectations from both its clients and the external public. Regardless of size, CPA firms are always looking for new and innovative ways to add its existing customer base while attracting new clientele.

Despite changing regulatory environments and fluctuating economies, one constant to a more profitable business is lowering costs and time. CPA firms require technologies that can add as much value as possible to its clients, in the most cost-effective method available.

With CaseWare Analytics, CPA firms can assess data from multiple sources, to efficiently detect fraud and errors, as well provide insights into the overall health of internal controls within an organization. Whether through analysis tools like IDEA® or continuous monitoring solutions, CPA firms and their clients are able to gain value through a collaborative platform, regardless of location.

## CaseWare™ Analytics Capabilities

### Data Extraction from Any Source

Effortlessly extract data from any application in any format, such as PDFs, text files, ect.

### Audit Specific Commands

Within a few steps, you can sort, analyze, compare and assess client data. You can analyse data and deliver detailed results to clients in a cost effective manner.

### Database Integrity

Read-only analysis means that the client's production database is never jeopardized, and data analysis is accurate and timely.

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"One of the key elements of fraud is concealment of the evidence. Our ability to aggressively and completely analyze the data we recover from suspect hard drives using CaseWare Analytics has made the search for the evidence much easier and more cost effective. Generally, we are searching several years of data, not always knowing what we might find. Features such as extraction, sorting, searching, summarizing and comparing data files make it an invaluable tool for the forensic accountant and fraud examiner."

**Phil Levi** CFE, FCA, CPA/CFF, CA•IFA  
Partner, Levi & Sinclair, LLP

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# CaseWare™ Analytics

<b>Payments</b>	<ul style="list-style-type: none"><li>○ Payments do not match Invoice</li><li>○ Duplicate payments across payment systems (ERP, E-payables, P-cards)</li><li>○ Split Payments</li><li>○ Rapid Payments</li><li>○ Gaps in Check numbers</li><li>○ Payment SoD conflicts</li></ul>
<b>Travel &amp; Expense</b>	<ul style="list-style-type: none"><li>○ Identify frequent travelers and corresponding compliance with travel policies and procedures</li><li>○ Pinpoint travel over holiday periods for potential personal travel</li></ul>
<b>Inventory Analysis</b>	<ul style="list-style-type: none"><li>○ Dead Stock analysis</li><li>○ Top/Bottom purchased items</li><li>○ Highest/Lowest turnover</li><li>○ Excessive inventory level changes</li><li>○ Price Change impact</li></ul>
<b>Salaries &amp; Payroll</b>	<ul style="list-style-type: none"><li>○ Identify multiple paychecks to same bank account</li><li>○ Compare check amounts per ledger to electronic bank files</li><li>○ List employees with salary increases greater than a specified percent</li><li>○ Compare refund payments to refund policy</li></ul>
<b>Segregation Of Duties</b>	<ul style="list-style-type: none"><li>○ Evaluate transactional data against control settings</li><li>○ Identify where custom transactions or programs may be inadvertently</li><li>○ Identify program changes not appearing on change control logs</li><li>○ Compare key program or file size, timestamps, and other characteristics to a control table to identify instances where a change has occurred</li><li>○ Highlight users with powerful profiles / responsibilities</li><li>○ Identify user profile / responsibility changes made immediate prior to or shortly after an audit</li></ul>